

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

[X] I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: August 30, 2004

Signature of Person Certifying: Nancy L. Hug  
Printed Name: Nancy L. Hug

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Thomas H. Johnson

Serial No.: 10/082,941

Filed: February 25, 2002

For: METHOD AND MECHANISM FOR  
A WEB BASED KNOWLEDGE  
MANAGEMENT TOOL

Group Art Unit: 2121

Examiner: Joseph P. Hiri

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO/SB/08b. Copies of the cited articles listed are enclosed herewith.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

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**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

☐ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☒ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office Action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☒ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ This IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.

**STATEMENT UNDER 37 CFR § 1.97(e):**

- ☐ Each item contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

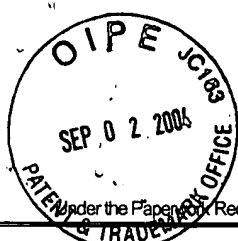
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).
- ☒ The Commissioner is authorized to charge the fee due under 37 CFR § 1.17(p) and to credit any overpayment and to charge any underpayment to Bingham McCutchen's Deposit Account No. **50-2518**, referencing billing no. **OI7010892001**, for any fees required by the filing of these papers.

Respectfully submitted,

Dated: August 30, 2004

By: Janet D. Chance  
Janet D. Chance  
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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/082,941
Filing Date	February 25, 2002
First Named Inventor	Thomas H. Johnson
Art Unit	2121
Examiner Name	Joseph P. Hiri
Attorney Docket Number	271/283

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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1.	Oracle Corporation, "Oracle9iAS Portal Developer Kit (PDK)," October 31, 2001, pp. 1-6, <a href="http://portalstudio.oracle.com/pls/ops/docs/folder/community/pdk/articles/overview.portlets.html">http://portalstudio.oracle.com/pls/ops/docs/folder/community/pdk/articles/overview.portlets.html</a>	
	2.	Oracle Corporation, "Oracle9iAS Portal, Feature Overview," May 2002, pp. 1-7, <a href="http://portalstudio.oracle.com/pls/ops/docs/folder/community/otn_content/mainpage/keyfeatures_benefits/portal_FOV.html">http://portalstudio.oracle.com/pls/ops/docs/folder/community/otn_content/mainpage/keyfeatures_benefits/portal_FOV.html</a>	
	3.	Oracle Corporation, "Oracle Application Server 10g, Oracle AS Portal," September 2003, pp. 1-9, <a href="http://portalstudio.oracle.com/pls/ops/docs/folder/community/otn_content/mainpage/keyfeatures_benefits/portal_FOV_0.html">http://portalstudio.oracle.com/pls/ops/docs/folder/community/otn_content/mainpage/keyfeatures_benefits/portal_FOV_0.html</a>	
	4.	Oracle Corporation, "Oracle Application Server Portal Overview," September 2003, pp. 1-4	
	5.	Oracle Corporation, "Creating and Managing Sites - Task Help," October 1999, pp. 111-197.	
	6.	Oracle Corporation, "Oracle8i™ Release 2 New Features Summary," November 1999, 17 pgs.	
	7.	Oracle Corporation, "Oracle Application Server Portal 10g (9.0.4) Product Overview, An Oracle White Paper," September 2003, pp. 1-9.	
	8.	Oracle Corporation, "Oracle9iAS Portal Release 2 - Technical Overview; An Oracle White Paper," April 2002, pp. 1-30.	
	9.	Oracle Corporation, "Oracle9iAS Portal Release 2 (Version 9.0.2): New Features; An Oracle White Paper," May 2002, pp. 1-34.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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